

SFL:BSE: 187:2023-24

13 January 2024

BSE Limited  
**(Scrip Code : 511066)**  
Floor 25, P J Towers  
Dalal Street, Fort  
**Mumbai - 400 001**

On-line submission through Listing Centre

Dear Sir / Madam,

**Sub: Intimation under Regulation 30(6) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Regulations")**

We wish to inform that the statutory inspection of SFL with reference to its financial position as on March 31,2022, was conducted by the RBI. The inspection Report and Risk Assessment Report pertaining to it revealed, *inter alia*, the Company failed to comply with RBI's Know Your Customer ("KYC") Directions, 2016, when it did not categorize its customers as low, medium and high risk categories and also did not carry out periodic updation of KYC for high risk customers during the financial year 2021-22.

With respect to the above, the Adjudication Committee of Reserve Bank Of India ("RBI") has, by its order dated 2 January 2024 and a Press Release dated 12 January, 2024 has imposed a monetary penalty of Rs. 6 Lakhs for non-compliance with the 'Reserve Bank of India (Know Your Customer (KYC)) Directions, 2016.

This penalty has been imposed in exercise of power vested in RBI under the provisions of Section 58G(1)(b) read with Section 58B(5)(aa) of the Reserve Bank of India Act, 1934.

The Company has already initiated/ taken corrective measures, as necessary, to align the operations/procedures in line with the stipulations of the RBI.

The details of the above order, as required under Clause 20 of Para A of Schedule III of the SEBI Regulations, read with SEBI Circular No. SEBI/HO/CFD-PoD-1/p/CIR/2023/123 dated 13 July, 2023 is attached as Annexure-A.

The said RBI order was received by the Company on 12 January 2024 at 5.12 pm.

The information is also being uploaded on the Company's website [www.sakthifiance.com](http://www.sakthifiance.com)

Thanking you

For Sakthi Finance Limited


  
C Subramaniam  
Company Secretary and  
Compliance Office  
FCS 6971



## Annexure-A

Sr.No.	Details of Events that need to be provided	Information of such Events(s)
a.	Name of the Authority;	Adjudication Committee of Reserve Bank of India
b.	Details of fines, penalties, dues etc including amount	Imposition of monetary penalty of ₹ 6 lakhs (Rupees six lakhs only) for non-compliance with the directions issued by RBI on for not categorizing its customers as low, medium and high risk categories and also did not carry out periodic updation of KYC for high risk customers
c.	Date of receipt of direction or order, including and as-interim or interim orders, or any, other communication from the authority;	12 January 2024 at 5.12 pm
d.	Details of the violation(s)/contravention(s) committed or alleged to be committed;	The Company has not categorized its customers as low, medium and high risk categories and also did not carry out periodic updation of KYC for high risk customers as per 'Reserve Bank of India (Know Your Customer (KYC)) Directions, 2016
e.	Due date of payment	Within 30 days from the date of receipt of the Order
f.	Reasons for delay/default in payment	Not Applicable
e.	Impact on financial, operation or other activities of the Company, quantifiable in monetary terms to the extent possible;	Except penalty of Rs. 6 Lakhs, there is no other impact on financial, operations or other activities of the Company.

**For Sakthi Finance Limited**



**C. Subramaniam**  
Company Secretary  
FCS 6971

Date & Time of Download : 13/01/2024 16:53:39

**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696468
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	936690 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696469
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	936692 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696470
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	936694 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696471
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	937181 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696472
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	937183 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696473
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	937185 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696474
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	937187 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696475
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	937189 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696476
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<b>Scripcode and Company Name</b>	937191 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696477
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	937587 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696478
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<b>Scripcode and Company Name</b>	937589 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696479
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	937591 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696480
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	937593 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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<b>Acknowledgement Number</b>	6696481
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	937595 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696482
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<b>Scripcode and Company Name</b>	937597 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696483
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<b>Scripcode and Company Name</b>	937973 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696484
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<b>Scripcode and Company Name</b>	937975 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696485
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<b>Scripcode and Company Name</b>	937977 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696486
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	937979 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696487
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<b>Scripcode and Company Name</b>	937981 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696488
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<b>Scripcode and Company Name</b>	937983 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696489
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<b>Scripcode and Company Name</b>	937985 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696490
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<b>Scripcode and Company Name</b>	937987 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696491
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<b>Scripcode and Company Name</b>	938592 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696492
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<b>Scripcode and Company Name</b>	938594 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696493
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	938596 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696494
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<b>Scripcode and Company Name</b>	938598 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696495
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	938600 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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<b>Acknowledgement Number</b>	6696496
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	938602 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
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<b>Acknowledgement Number</b>	6696497
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	938604 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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<b>Acknowledgement Number</b>	6696498
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	938606 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696499
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	938608 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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**BSE ACKNOWLEDGEMENT**

<b>Acknowledgement Number</b>	6696500
<b>Date and Time of Submission</b>	1/13/2024 4:48:25 PM
<b>Scripcode and Company Name</b>	511066 - SAKTHI FINANCE LTD.
<b>Subject / Compliance Regulation</b>	Intimation Under Regulation 30(6) Of The SEBI Listing Regulations
<b>Submitted By</b>	C Subramaniam
<b>Designation</b>	Company Secretary & Compliance Officer

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